

Docket: 1232-4599



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Yoshiro UDAGAWA  
Serial No. : 09/442,835 Group Art Unit : 2712  
Filed : November 18, 1999  
For : IMAGE PICKUP APPARATUS

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**FILING DATE GRANTED**

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231  
ATTENTION: BOX MISSING PARTS

S I R :

In response to the Notice to File Missing Parts (FORM PTO-1533) mailed December 23, 1999, applicant(s) enclose herewith an executed Declaration/Power of Attorney for the above-identified application which was filed on November 18, 1999 without a Declaration, pursuant to 37 C.F.R. 1.63.

Also enclosed is FORM-PTO-1533 and a check in the amount of One Hundred and Thirty Dollars (\$130.00) to cover the surcharge for filing the Declaration on a date later than the filing date of the application, pursuant to 37 C.F.R. §1.16(e). The Commissioner is hereby authorized to charge any deficiencies in fees to Deposit Account No. 13-4500. Order No. 1232-4599. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Date: February 9, 2000

By: \_\_\_\_\_

Michael M. Murray  
Registration No. 32,537

Mailing Address:  
MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, New York 10154-0053  
(212) 758-4800

Section 8

Docket: 1232-4599

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant(s) : Yoshiro UDAGAWA  
Serial No. : 09/442,835 Group Art Unit : 2712  
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For : IMAGE PICKUP APPARATUS

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

Assistant Commissioner of Patents  
Washington, D.C. 20231  
Box Missing Parts

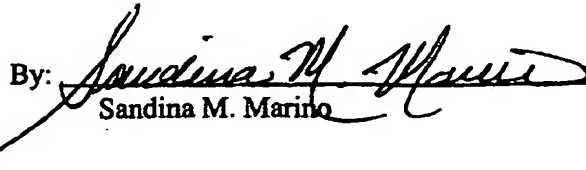
Sir:

I hereby certify that the attached Response to Notice to File Missing Parts; Copy of Form PTO 1533; 6 pg. Executed Declaration/POA; Check in the amount of \$130.00; and return receipt postcard (along with any paper(s) referred to as being attached or enclosed) and this Certificate of Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the: U.S. Patent and Trademark Office, Box Missing Parts, Washington, DC 20231.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Date: February 10, 2000

By:   
Sandina M. Marino

Mailing Address:  
MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, New York 10154  
(212) 758-4800

PATENT  
Docket: 1232-4599



PATENT

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#5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Yoshiro UDAGAWA  
Serial No. : 09/442,835 Group Art Unit : 2712  
Filed : November 18, 1999  
For : IMAGE PICKUP APPARATUS

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

U.S. Patent and Trademark Office  
Application Processing Division  
Customer Correction Branch  
Washington, D.C. 20231

Sir:

I hereby certify that the attached:

1. Request for Corrected Filing Receipt;
2. Copy of Official Correct Filing Receipt; and
3. Return receipt postcard.

along with any paper(s) referred to as being attached or enclosed) and this Certificate of Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the: U.S. Patent and Trademark Office Application Processing Division, Customer Correction Branch, Washington D.C. 20231

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: February 10 2000

By:

  
Sandina M. Marino

CORRESPONDENCE ADDRESS:  
MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, New York 10154  
(212) 758-4800

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TECH CENTER 2700



Docket: 1232-4599

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Yoshiro UDAGAWA  
Serial No. : 09/442,835 Group Art Unit : 2712  
Filed : November 18, 1999  
For : IMAGE PICKUP APPARATUS

Assistant Commissioner for Patents  
Washington, D.C. 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

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SIR:

The enclosed Official Filing Receipt was recently received. The following corrections are respectfully requested.

Under Applicant(s), please insert -- Saitama-ken, Japan --.

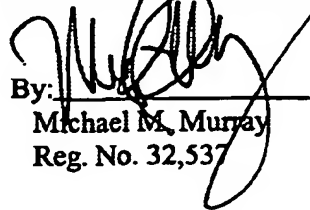
Under Foreign/Priority Information, please insert:

-- Japanese Application No. 10-349328 filed 11/25/98.

This information was correctly listed on the Utility Application Transmittal filed on November 25, 1998. Therefore, no fee is believed to be necessary. However, the Commissioner is hereby authorized to charge any additional fees which may be required for this request, or credit any overpayment to Deposit Account 13-4500, Order No. 1232-4599.

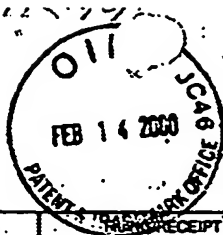
Respectfully submitted,

MORGAN & FINNEGAN

By:   
Michael M. Murray  
Reg. No. 32,537

Dated: February 9, 1999

Morgan & Finnegan L.L.P  
345 Park Avenue  
New York, N.Y. 10154



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

#2

APPLICATION NUMBER	RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/442,835 11/18/99 UDAGAWA

Y 1232-4599

0212/1223

MORGAN & FINNEGAN L L P  
345 PARK AVENUE  
NEW YORK NY 10154

NOT ASSIGNED

2712

DATE MAILED:

12/23/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center

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7/16/2000 09:00:00 00000038 09/442835 130.00 00  
FC:105

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/442,835	11/18/99	2712	\$910.00	1232-4599	5	24	4

MORGAN & FINNEGAN L L P  
345 PARK AVENUE  
NEW YORK NY 10154

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) YOSHIRO UDAGAWA.

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 12/23/99  
TITLE  
IMAGE PICKUP APPARATUS  
PRELIMINARY CLASS: 348

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TECH CENTER 2700

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CASE 1232-4599 ATTY mmm  
INFORMATION DISCLOSURE Feb 18, 2000  
STATEMENT \_\_\_\_\_  
FOREIGN FILING \_\_\_\_\_  
CONVENTION DATE EXPIRES \_\_\_\_\_

DATA ENTRY BY: WARREN, EFREM

TEAM: 01 DATE: 12/23/99

\*\*\*\*\*

(See reverse for new important information)

Docket: 1232-4199



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Yoshiro UDAGAWA

Serial No. : 09/442,835

Group Art Unit : 271

Filed : November 18, 1999

For : IMAGE PICKUP APPARATUS

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

RECEIVED  
FEB 17 2000  
LEGAL CENTER 2700

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

I hereby certify that the attached Claim to Convention Priority: Priority Document No. 10-349328: and return receipt postcard (along with any paper(s) referred to as being attached or enclosed) and this Certificate of Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the: U.S. Patent and Trademark Office, Washington, DC 20231.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

By:

*Sandina M. Marino*  
Sandina M. Marino

Date: February 10, 2000

Mailing Address:  
MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, New York 10154  
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(212) 751-6849 Telecopier

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